

Title: Scenarios and models to support global conservation targets

Authors

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Abstract

Global biodiversity targets have far-reaching implications for nature conservation worldwide. Scenarios and models hold unfulfilled promise for ensuring such targets are well founded and implemented; we review how they can and should inform the Aichi Targets of the Strategic Plan for Biodiversity and their reformulation. They offer two clear benefits: providing a scientific basis for the wording and quantitative elements of targets; and identifying synergies and trade-offs by accounting for interactions between targets and actions needed to achieve them. The capacity of scenarios and models to address complexity makes them invaluable for developing meaningful targets and policy, and improving conservation outcomes.

Keywords

Biodiversity; conservation targets; indicators; modelling; scenarios; environmental change; conservation policy

The potential of scenarios and models to inform conservation targets

The Aichi Targets of the Strategic Plan for Biodiversity 2011–2020ⁱ provide an agreed set of conservation aspirations for the international community, and explicit targets that 193 countries have committed to achieve [1]. Justified and compelling targets have the power to shape policy and activity within and beyond the environment sector [2]. Knock-on effects of environmental targets, such as the 2015 global target of less than 2 degrees warming [3], can be profound, but the ways in which they are realised are complex. Feedbacks and trade-offs between sectors and policies, in particular, are challenging to characterise, understand, and navigate [4, 5]. A poor understanding of the potential consequences of conservation targets, the interactions between targets, and the actions needed to achieve them, can lead to unexpectedly poor conservation outcomes, inefficient actions, and lost opportunities for meeting commitments. For example, some of the easiest pathways towards achieving the global target to protect 17% of the Earth’s terrestrial ecosystems would not adequately safeguard the biodiversity this target is intended to conserve [6-9].

Scenarios depict plausible futures, and alternative policies and management strategies that may affect the achievement of conservation goals [10, 11]. Models represent simplified and idealised understandings of a system, and can describe or predict conservation outcomes under a range of alternative scenarios. They range from qualitative conceptual models describing relationships between elements of a system, to quantitative models, built from either a principled understanding of the mechanics of a system, or through analysis of the emergent patterns observed in data [11, 12]. Here, we focus primarily on quantitative correlative or process-based models dealing with biodiversity and ecosystem services, such as biophysical, ecological and socio-ecological models. Together, scenarios and models

provide a powerful means of characterising, understanding and projecting the conservation implications of targets, and the positive and negative consequences of actions aimed at achieving them [11, 13]; for example, scenarios and models have underpinned climate change targets and the actions needed to meet them [3, 14]. Scenarios and models can capture complex feedbacks and social and ecological interactions, harness data and expert and local knowledge in a framework that is logically consistent, repeatable and transparent – in ways that the human mind cannot. They can also represent and quantify uncertainty about alternative futures and about the effectiveness of policy and management options [14-16].

Scenarios and models can play a key role in designing and informing effective conservation targets, and evaluating the actions needed to achieve them. Importantly, they enable projections of the impacts of policies and management plans, including the targets themselves, on the ultimate goal of biodiversity conservation, by modelling the responses of genetic diversity, species and ecosystems, and human actors to policy scenarios. Scenarios and models can and should contribute across all four phases of the policy cycle within which conservation targets are embedded [11, 17]:

1. *Agenda-setting*: identifying the problem(s), developing a compelling case for change supported by multiple lines of evidence, and motivating the need for action, and therefore targets;
2. *Formulation*: designing the overarching concept or goal of each target, its phrasing, quantification, and associated indicators for measuring progress;

3. *Implementation*: taking an international target to local action, including interpreting the global targets, devising and evaluating policies and on-ground actions for achieving them;
4. *Review*: assessing the status and trends in indicators of progress towards targets, and evaluating the effectiveness of policies and actions aimed at achieving targets.

We show how scenarios and models can and should support the Aichi Targets of the Strategic Plan for Biodiversity 2011–2020, although our argument is also relevant to global targets in many sectors, including the United Nations Sustainable Development Goalsⁱⁱ.

Modelling and scenario analyses have already been used widely in setting policy agendas by motivating conservation targets, including in the Millennium Ecosystem Assessmentⁱⁱⁱ, the Global Species Assessment^{iv}, and Global Biodiversity Outlook^{v,vi}. Following agreement on the Aichi Targets in 2010, the global community has been implementing actions aimed at achieving these targets, and reviewing their progress [1]. As 2020 approaches, the post-2020 agenda is being refined, with reformulation of existing targets and formulation of new targets [18]. With this arises an opportunity to use scenario and models to devise better and more effective targets. While scenarios and models offer considerable potential benefits across all of these activities, there is limited evidence of use to date beyond their role in agenda-setting at global levels [11].

We illustrate how scenarios and models have contributed or could contribute to implementing, reviewing, formulating and reformulating the Aichi Targets (Fig. 1), with examples from local to global scales (Table S1). Their uses include: exploratory scenarios and models that investigate potential responses of biodiversity and impacts of actions; target-seeking and policy-screening scenarios to evaluate means of reaching biodiversity

targets; and developing counterfactuals for comparison with realised changes to evaluate costs and benefits of conservation actions [9, 19]. We highlight the roles scenarios and models have played within the management of fisheries and marine ecosystems (Box 1), which offer considerable insight for informing the choice of actions towards achieving Aichi Target 6. We then focus on the potential roles of scenarios and models in relation to three targets, Aichi Target 12 on species extinctions (Box 2, Fig. 1 B-E), Aichi Target 11 on protected areas (Box 3), and Aichi Target 5 on habitat loss (*Accounting for interactions*). We emphasise the benefit of using models in capturing interactions between targets, and improving coherence between the implementation, review and formulation of targets.

Formulating, implementing and reviewing targets

Formulation and reformulation: The formulation of a target involves defining its overarching concept or goal, phrasing of the target, setting any quantitative elements, and highlighting indicators for measuring progress. Elements of the Aichi Targets have been criticised for being arbitrary, ambiguous, overly complex, difficult to quantify, and unachievable [20-22]. The use of scenarios and models could help to alleviate such shortcomings. For example, Target 11 aims for 17% of terrestrial areas to be protected, a number that was negotiated and without a basis in science [2, 20]. Models could be used to set more scientifically appropriate and effective targets for protection (Box 3). Scenarios and models do appear to have influenced the formulation of some targets, but it is challenging to discern or reconstruct this from the wording of targets; any influence, either direct or indirect, often remains undocumented [2]. The use of specific terms, informed by theory and models, can result in less ambiguous and arbitrary targets; for example, the wording in

Target 12 was influenced by models of extinction risk that underpin *species conservation status* [23, 24] (Box 2). Target 6 relies on qualitative terms, such as *sustainably harvested*, that have specific meanings in fisheries science, relating to thresholds of risk; the development of these thresholds is typically supported by quantitative models of fish stocks and ecosystems [25]. The choice of terms can affect target achievability: because Target 6 addresses sustainable management of fisheries, it can be achieved one ecosystem or stock assessment at a time (Box 1); in contrast, other targets require co-ordinated efforts, such as for representative and connected protected areas (Target 11), making achievement more challenging. Models can also be used to test whether targets are measurable, to evaluate the efficacy of indicators used to track trends and status [26-29], and to identify and quantify undesirable side-effects of achieving targets in particular places [30].

Implementation: Global targets are typically implemented through action at national scale and below, requiring translation into targets within national legislation or policies, development of programs or strategies to achieve them, and realisation of on-the-ground actions. Scenarios and models have potential to contribute to assessing alternative policies and actions, target achievability, and target effectiveness, as demonstrated at local scale by the use of models and scenarios to implement fisheries targets (Box 1) [31]. Examples from the literature exemplify how scenarios and models can be used in target implementation: comparing alternative policies and strategies in their capacity to attain targets for protected areas and species conservation [7]; projecting biodiversity and ecosystem service trajectories under climate change and land-use scenarios [32-34]; identifying how conservation objectives can be met alongside other objectives for sustainable fisheries [31]; and comparing cost-effectiveness of land-use and conservation options under climate

change [35], business-as-usual or current trajectories [1]. Models for evaluating policies are increasingly able to integrate social and economic factors and human behaviour [31, 36]. Yet application of such scenarios and models to real-world implementation of conservation targets remains scant [11].

Review and evaluation: Critical elements of any review of target achievement include assessing the status and trend of indicators measuring progress towards each target, and evaluating the effectiveness of policies that have been implemented. Models can be used to: interpret, interpolate and analyse data [37-39]; evaluate the effectiveness of conservation action [40, 41], such as protected area impacts on reducing deforestation [42, 43]; and project implications of those data, such as abundance trends to infer population trajectories [7]. Scenarios and models can also contribute significantly to evaluating policy effectiveness through the construction of counterfactuals – pathways expected had policies not been implemented or had management actions not been undertaken [9, 19, 44] (Fig. 1). For example, the likely trajectory in extinction risk of 235 ungulate species would have been seven times worse without conservation efforts over the last two decades, based on expert assessments [45]. Models provide a structure for integrating new knowledge and updated understanding through time; for example, developing alternative models of a system can improve understanding of what is driving observed patterns [26, 46] (Box 1).

Accounting for interactions between targets

Scenarios and models provide a powerful means of explicitly accounting for the interactions between targets. Interactions include both synergies and trade-offs in the targets, and in

the actions governments undertake to meet each target [8, 21, 36, 47, 48]. As an example of how scenarios and models could help to integrate across multiple targets, we consider cross-target links involving Aichi Target 5: *By 2020, the rate of loss of all natural habitats, including forests, is at least halved and where feasible brought close to zero, and degradation and fragmentation is significantly reduced.*

Target 5 is clearly linked with other targets, both in terms of how actions implemented under other targets will contribute to the achievement of Target 5, and vice versa, where efforts to achieve Target 5 will affect other targets [48]. For example, protection of areas vulnerable to habitat loss under Target 11 might contribute positively to the achievement of Target 5, while reduction of habitat loss under Target 5 will, in turn, contribute positively to reducing the risk of species extinctions under Target 12 [6, 8], and to enhancing the provision of some ecosystem services under Target 14 (Fig. 2). Expansion of protected areas (Target 11) may also contribute directly to achieving Target 12 by removing threats other than habitat loss [8, 9].

Conflicts may exist between and within targets, for example between different ecosystem services (Target 14), and between biodiversity and ecosystem services [47, 49]. Accounting for synergies and trade-offs in choosing best actions to simultaneously reduce habitat loss, improve species protection and increase ecosystem services, such as carbon stocks (Target 15), may result in a very different answer to that obtained if these targets are dealt with in isolation from one another [6, 8]. Scenarios and models can provide a powerful means of enabling this more integrated perspective.

In **target formulation** and **reformulation**, scenarios and models enable a structured perspective on how key aspects of natural systems are linked, including links between human activities (policy-making, planning, and management) and their outcomes (biodiversity persistence, extinctions, habitat loss, ecosystem services). Models can aid the formulation of targets that account for these linkages, by improving understanding about the nature of these interactions. In **implementation**, scenarios and models can aid governments in identifying how different potential suites of actions could combine to influence the achievement of multiple targets [8, 14, 50] (Fig. 2). This could not only help governments to identify actions to achieve those targets simultaneously, but can also be combined with structured decision making to highlight the cost-effectiveness of different suites of approaches [6]. In addition, the structure and knowledge provided by scenarios and models could help governments to manage potential trade-offs in how actions influence progress towards different targets, where an action focussed on meeting one target could hamper progress towards other targets [47]; for example, quantitative analyses correlating indicators of the United Nations Sustainable Development Goals identified potential trade-offs, including where human welfare can conflict with environmental sustainability [51]. Similarly, in the **review** phase, scenarios and models can improve understanding of the contributions of policies towards multiple targets (e.g., reducing habitat loss and species extinction rates) and between targets (e.g., impacts of protected areas on deforestation rates [43, 50]).

Concluding Remarks

The mission of the Strategic Plan for Biodiversity 2011–2020 is to “take effective and urgent action to halt the loss of biodiversity”ⁱ. Scenarios and models offer a powerful means of evaluating the actions needed to halt biodiversity loss, and quantifying their impacts on species, ecosystems, ecosystem services and human well-being, thus explicitly relating the individual Aichi Targets back to the mission of the plan. They enable a structured perspective on how natural systems and human activities are linked [5], relating anthropogenic pressures (e.g. land conversion for agriculture) and responses (e.g. establishment of protected areas) to their likely outcomes for biodiversity [11, 13]. Although the science underpinning such modelling is always developing [19, 52, 53] (see Box 4 *Outstanding questions*), available scenarios and models can already be used to better motivate, formulate, implement, and review biodiversity conservation targets.

Quantitative targets allow clear performance measures, and thus force signatories to achieve specific outcomes [22]; for example, Aichi Target 11 aims for at least 17% of terrestrial and inland water and 10% of coastal and marine areas to be protected (Box 3). However when quantitative targets are arbitrary, they can become meaningless and contribute little to overall goals [54, 55], or can result in unintended or even perverse outcomes [6-8]. Few of the Aichi targets have quantifiable elements [22] (highlighted in Table S1), and, somewhat surprisingly, some of the quantifiable elements have little scientific evidence to support them. Yet these targets may be more likely to be achieved due to their unequivocal measurability; this is a paradox of the current quantitative targets, which could be addressed directly through the application of scenarios and models to develop the required evidence base.

While scenarios and models can play an important role in relation to individual targets, their greatest strength comes in their capacity to explicitly address interactions between targets. They can bring coherence to the phases of agenda-setting, target formulation, implementation and review, by integrating consideration of multiple targets and how they can be achieved and measured (Figure 2, Box 1). Management strategy evaluation, used successfully within fisheries to link phases of target-setting and achievement [31, 46], has the potential to be more widely applied within conservation science [56]. In particular, scenarios and models provide a means for integration across targets, by explicitly considering how different types of actions can contribute to multiple targets [8], and where the achievement of targets may be in conflict, and therefore requires consideration of trade-offs [21]. Quantitative models are able to evaluate interaction effects and may reveal unanticipated consequences of feedbacks; the semi-quantitative use of qualitative approaches [e.g., 5, 57] is also informative. Uncertainty is an inevitable aspect of life, particularly when projecting alternative futures; modelling can make the magnitude and sources more transparent. Thoughtful model-based analyses can reveal key sources of uncertainty, data gaps and pathways for filling knowledge, and support the identification of actions and policies that are robust to uncertainties in parameterisation, model choice, scenarios or pathways [56, 57], including how people in the system might respond to any decisions made [44, 58]. While we have focused here primarily on quantitative models of biodiversity and ecosystem services, many other types of models (quantitative and qualitative) can inform biodiversity targets, such as psychological, game theoretic, and governance models [5, 58, 59]. Such models can contribute to understanding how processes such as the uptake of knowledge or human behavioural responses can influence both the form of a target and the success of its implementation, but remain largely unexplored.

Despite the considerable potential for scenarios and models to inform the formulation and implementation of targets, they have been little used in this capacity to date. Common reasons for not using these approaches include data and knowledge constraints [52, 53], technical capacity, lack of trust in models and modellers, and unwillingness to engage from both decision-makers and scientists [11, 60]. Our analyses highlight *information* gaps, which policy-makers and researchers should strive to fill by working together to develop new knowledge and methods, and *implementation* gaps, where the theory and tools exist to support target achievement, review and formulation, but have seen little use. Addressing both gaps represents a great opportunity for collaboration between decision-makers and researchers.

Where scenarios and models have played important roles in policy design and implementation, success has derived largely from: strong partnerships and trust between decision-makers, stakeholders and scientists; commitment of all parties to the decision process and the use of decision support tools; the timeliness of the modelling work; and a structured approach to problem solving [46, 60, 61]. If scenarios and models are to be successfully deployed in support of target development and implementation, the willingness of both researchers and decision-makers to engage with one another, and respond to each other's needs, will be critical. In particular, a greater understanding of the information and tools required by decision-makers can allow modellers to devise tailored solutions, at the appropriate temporal and spatial scales, and that can integrate multiple knowledge types [11, 62].

As the global community moves towards new sets of conservation targets beyond 2020, there are many ways in which scenarios and models can continue to contribute, by motivating the need for new targets and helping to improve existing ones [11]. For example, IPBES (Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services) assessments that are underway, in particular the global assessment, provide an outstanding opportunity to contribute to setting future international biodiversity targets and highlighting their broader interactions with the UN Sustainable Development Goals [11]. The application of scenarios and models, and the science that underpins them, can greatly reduce the prevalence of ambiguous terms, superfluous or unmeasurable elements, and unreliable indicators. In target formulation and implementation, models and scenarios can evaluate not only whether targets are achievable, but how they can be achieved and with what level of certainty. This will require scientists, stakeholders and decision-makers to come together with an open mind and the will to learn and work together, to help halt the loss of biodiversity and maintain the benefits it provides for human wellbeing.

Resources

ⁱ Strategic Plan for Biodiversity 2011-2020, Convention On Biological Diversity, 2010

<https://www.cbd.int/sp/>

ⁱⁱ <https://sustainabledevelopment.un.org/sdgs>

ⁱⁱⁱ Millennium Ecosystem Assessment

<https://www.millenniumassessment.org/en/index.html>

^{iv} Global Species Assessment: 2004 IUCN Red List of Threatened Species. A Global Species Assessment, International Union for the Conservation of Nature, (Baillie, J.E.M. et al., eds)

<http://www.iucnredlist.org/>

^v Global Biodiversity Outlook (GBO) <https://www.cbd.int/gbo/>

^{vi} GBO-4 Technical report: Leadley, P.W. et al., Progress towards the Aichi Biodiversity Targets: An Assessment of Biodiversity Trends, Policy Scenarios and Key Actions. Technical Series 78, Secretariat of the Convention on Biological Diversity, Montreal, Canada., 2014

<https://www.cbd.int/gbo4/>

^{vii} IUCN Red List Categories and Criteria: Version 3.1, IUCN Species Survival Commission, Gland, Switzerland, 2001 <http://www.iucnredlist.org/>

^{viii} <http://www.ipcc-data.org/guidelines/pages/definitions.html>

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Acknowledgments

EN acknowledges the support of a Veski Inspiring Women Fellowship (IWF001) from the Victorian Government, and the Australia Research Council (ARC, DP170100609); Wintle and the workshop were supported by ARC Future Fellowship (FT100100819), by the ARC Centre of Excellence for Environmental Decisions (CE110001014) and a Belmont Forum Network

grant. RB was funded by a CSIR YREF grant. We thank Stuart Butchart, Michael Hoffmann and two anonymous reviewers for their comments.

Author contributions

EN led the writing and analysis; EAF, RB and SS contributed to the writing and analysis; all other authors contributed to the writing.

Supplementary Materials

Table S1.

Glossary

Biodiversity: The variability among living organisms from all sources including terrestrial, marine and other aquatic ecosystems and the ecological complexes of which they are a part; this includes diversity within species, between species and of ecosystemsⁱ [11].

Counterfactual: the unobserved outcome had conditions, policy or management been different, for example, had interventions not been undertaken [19].

Ecosystem service: The benefits (and occasionally dis-benefits or losses) that people obtain from ecosystems, including: provisioning services such as food and water; regulating services such as flood and disease control; and cultural services such as recreation, ethical and spiritual, educational and sense of place [11].

Forecast: When a projection is branded "most likely" it becomes a forecast or prediction. A forecast is typically obtained using a model or set of models, outputs of which can enable some level of confidence to be attached to projections^{viii}.

Global conservation target: targets for biodiversity conservation and sustainability that are agreed by multiple countries, typically including a mixture of qualitative and quantitative aspirations for policy and management; these include the Aichi Targets of the Strategic Plan for Biodiversity 2011–2020ⁱ, and the United Nation’s Sustainable Development Goalsⁱⁱ.

Hindcast: A form of model verification to determine how well a model predicts historical events or measurements; also referred to as backcast [11].

Indicator: An indicator is a measure that conveys information about more than just itself. Indicators are purpose dependent – the interpretation or meaning given to the data depends on the purpose or issue of concern [27].

Model: Models represent simplified and idealised understandings of a system, and can describe or predict conservation outcomes under a range of alternative scenarios. They range from qualitative conceptual models describing relationships between elements of a system, to quantitative models, built from either a principled understanding of the mechanics of a system, or through analysis of the emergent patterns observed in data [11].

Prediction: see forecast

Projection: In general usage, a projection can be regarded as any description of the future and the pathway leading to it [11].

Scenario: Scenarios depict plausible futures, and alternative policies and management strategies that may affect the achievement of conservation goals [10, 11]

Boxes

Box 1: Aichi Target 6: *By 2020 all fish and invertebrate stocks and aquatic plants are managed and harvested sustainably, legally and applying ecosystem based approaches, so that overfishing is avoided, recovery plans and measures are in place for all depleted species, fisheries have no significant adverse impacts on threatened species and vulnerable ecosystems and the impacts of fisheries on stocks, species and ecosystems are within safe ecological limits.*

Target 6 demonstrates how scenarios and models can influence the formulation, implementation and review of a target. The **formulation** of Target 6 appears qualitative, but relies on terms that have specific meanings within fisheries science and policy, informed by model-based testing. '*Safe ecological limits*' refers to keeping stocks above limit reference points, stock-specific quantitative thresholds defined scientifically (e.g. via quantitative models) [25]. A species considered to be *harvested sustainably* should have stocks around a target reference point; *overfishing* occurs when fishing pressure is at a level that would push the stock below its target; and when a stock is depleted to the point it is below the limit point it is considered *overfished*. *Ecosystem-based* management aims at sustainable fisheries supported by healthy ecosystems, rather than management of individual species [63], although the science to underpin ecosystems reference points is still evolving [64, 65]. By tying Target 6 to the concepts of sustainability and overfishing, rather than fixed quantitative values, there is the flexibility to use ecosystem- and species-specific targets and incorporate new information.

Scenarios and models have been used extensively within marine systems in **implementation**, to simulate potential policy changes, to predict whether the actions will deliver as expected, and identify any potential unintended consequences or perverse outcomes [31, 58, 61]. Models of stocks, species and ecosystems have been used to project impacts of fishing and management strategies, define thresholds and management triggers under given states and trajectories, and under scenarios of stressors such as climate change and eutrophication [32, 66]. Increasingly human behaviour, social and economic systems are included in such models [31, 58]. Models have also been used in **evaluation and review**, to analyse trend data [e.g., 26, 37, 67], including reconstructing global landings [38], and explore ecological dynamics [68].

Scenarios and models are increasingly used to formulate, implement and review fisheries and ecosystem-level targets through integrated approaches such as management strategy evaluation [31, 46]. For example, in the Northwest Shelf region of Australia, scientists and stakeholders developed management targets and scenarios for evaluation with models [46]. The use of multi-species fish and habitat models posed competing hypotheses around fishing effects, prompting a monitoring scheme to distinguish between the hypotheses, and laid out the management options that meet objectives robustly. The modelled management actions ultimately became the basis of ongoing management for the fisheries [46]. While these examples show how models and scenarios can help with a target, it is important to note achieving Target 6 remains challenging as the fisheries work has been heavily geographically and taxonomically biased. Such work has been more heavily focus on developed countries and economically important species [69], and will require ongoing regular review and revision to adapt to changing systems.

Box 2: Aichi Target 12: *By 2020 the extinction of known threatened species has been prevented and their conservation status, particularly of those most in decline, has been improved and sustained*

The **formulation** of Target 12 is relatively concise and precise, linked to defined terms underpinned by theory and models. *Extinction* is defined as when the last individual dies^{vii}. *Conservation status* refers to a species' extinction risk or Red List status, as assessed using the categories and criteria of the IUCN Red List of Threatened Species^{vii}. *Improved and sustained* can be applied to the conservation status of individual species, or to the Red List Index, an aggregate index of change in IUCN Red List status across species [70].

Understanding of models therefore appears to have informed the target's formulation. The IUCN Red List criteria are in turn underpinned by theory and models [23], and tests of their capacity to predict extinction risk [24, 71]. The Red List is biased taxonomically towards vertebrates, especially birds, mammals and amphibians, with low coverage for plants, fungi, invertebrates. Work aimed at redressing these biases includes expansion of comprehensive assessments [72] and a sampled approach for poorly known speciose groups [73]. The target of halting extinctions of known species is made more challenging by extinction debt [74], but understanding this again facilitates its implementation.

While models of species distributions and population viability have seen considerable use in guiding conservation management at local scales [75, 76], models directed at **implementation** of Target 12 have largely been exploratory (Fig. 1b-e), rather than directed

at policies to achieve conservation targets – this remains an area of great potential. For example, projections of species' extinction risk, in particular through population modelling [77], can explore impacts of climate change and management on extinction risk [78, 79]. Some model the impacts of population trajectories onto IUCN Red List status [80, 81] and the Red List Index [7, 27, 33] under different scenarios and policies (Fig.1), including estimating costs of actions [82]. McCarthy et al. [40] projected optimal investment in the conservation of birds species in Australia, based on past management and observed changes in Red List status.

Many examples demonstrate how models can be used to **review** recent trends and provide counterfactuals to better understand the effectiveness of conservation actions for species persistence. Analyses of bird conservation in Australia found that increased expenditure reduced the risk of worsening Red List status, but had little impact on improving species' status [40]. Hoffmann et al. [41] estimated the impact of conservation action on the aggregate extinction risk of 25,000 vertebrate species around the globe, assuming the species would have remained at their previous status in the absence of action. They concluded that the rate at which species move towards extinction would have been 20% worse in the absence of conservation action [41]. An improved counterfactual was used in a later study for 235 ungulate species, estimating that the likely trajectory in extinction risk would have been seven times worse, had conservation efforts ceased in 1996 [45] (Fig. 1c). A conservation NGO, Durrell Wildlife Conservation Trust, used expert opinion to develop a counterfactual to evaluate the impacts of their work [83]. As well as projecting future scenarios, Visconti et al. [33] hindcast species' responses to land-use and climate change,

comparing predicted and observed trends from 1970 to 2010 in the Red List Index for 440 mammal species across the globe.

Box 3: Aichi Target 11: *By 2020, at least 17 per cent of terrestrial and inland water, and 10 per cent of coastal and marine areas, especially areas of particular importance for biodiversity and ecosystem services, are conserved through effectively and equitably managed, ecologically representative and well connected systems of protected areas and other effective area-based conservation measures, and integrated into the wider landscapes and seascapes.*

Several of the terms in the **formulation** of Aichi Target 11 show influences of scenarios and models. For example, focusing protected area expansion onto areas of importance for biodiversity is supported by quantitative spatial models of irreplaceability [84]. Similarly, connectivity and integration are underpinned by models of the movements of species and ecological and evolutionary processes across wider land- and sea-scapes [85, 86]. By contrast, the quantitative elements of Aichi Target 11 (17% for terrestrial protected areas, 10% for marine) have no basis in science [2, 20]. While agreed percentage targets drive countries to achieve set levels of protection, models have shown they drive a perverse incentive for the establishment of protected areas in places that are cheap to protect per unit area, at the expense of more important places for biodiversity [87]. Fixed targets do not reflect the heterogeneous and dynamic distribution of biodiversity – they are severely insufficient in some places, e.g., marine ecosystems [54] and the Cape Floristic Province [88] – or non-linear responses of species to the amount and fragmentation of habitat [89].

Scenarios and models should influence evidence-based *reformulation* of the target beyond 2020, to make it less arbitrary and more likely to achieve conservation goals.

A range of models has been developed that could support the *implementation* of Aichi Target 11. Amongst the simplest are models that compare current rates of increase in protected areas with rates needed to meet targets [1, 90], and gap analysis to assess how well protected area systems represent biodiversity [6, 91]. Models can feed into decision-support tools, which have been used to plan protection area expansion [92-95]. Scenarios can evaluate the projected biodiversity benefits of policy emphasis across different elements of the target; Fuller et al. [96] showed that replacement of poorly placed protected areas with new, strategically-located reserves would greatly improve biodiversity outcomes. Nicholson et al. [27] and Costelloe et al. [7] compared improving management with expanding protected areas, finding that effective management provided greater benefits to biodiversity than increased area alone. Visconti et al. [9, 33] showed that protected area expansion driven by information on species-specific information and targets could substantially reduce species extinction risk compared to business-as-usual, but that expansion based on representation of ecoregions could be worse for threatened species than no action [9].

Modelling and scenarios can guide target *review* by comparing actual outcomes of protected area establishment to null models or counterfactuals; for example, comparing biodiversity benefits of current protected areas with predicted benefits had reserve placement been optimal or random [97, 98]. Butchart et al. [99] found that species for which most important sites are protected are moving towards extinction at half the rate of

poorly protected species. Comparison with equivalent unprotected areas has proven crucial in demonstrating protected area impacts on reducing deforestation [43], forest fires [100], and poverty [101].

Box 4: Outstanding questions (Box 4)

How can scenarios and models mitigate the paradox of quantitative targets – which are measurable and often achievable, yet typically political, arbitrary and with little scientific evidence to support them – to support development of more effective, evidence-based targets?

How can other quantitative and qualitative models not addressed in this review, in particular those relating to uptake of knowledge or human behavioural responses, better inform biodiversity targets?

What processes, context and interactions can enable and support improved collaboration between policy makers and scientists in target formulation and implementation? In particular, how can we close *information* gaps, where knowledge and methods to solve problems are lacking, and *implementation* gaps, where the theory and tools exist to support target achievement, review and formulation, but have seen little use?

How can uncertainty, including large uncertainties associated with modelling complex systems and future projections, be better quantified, understood, accounted for in decision-making, and communicated?

Figure 1

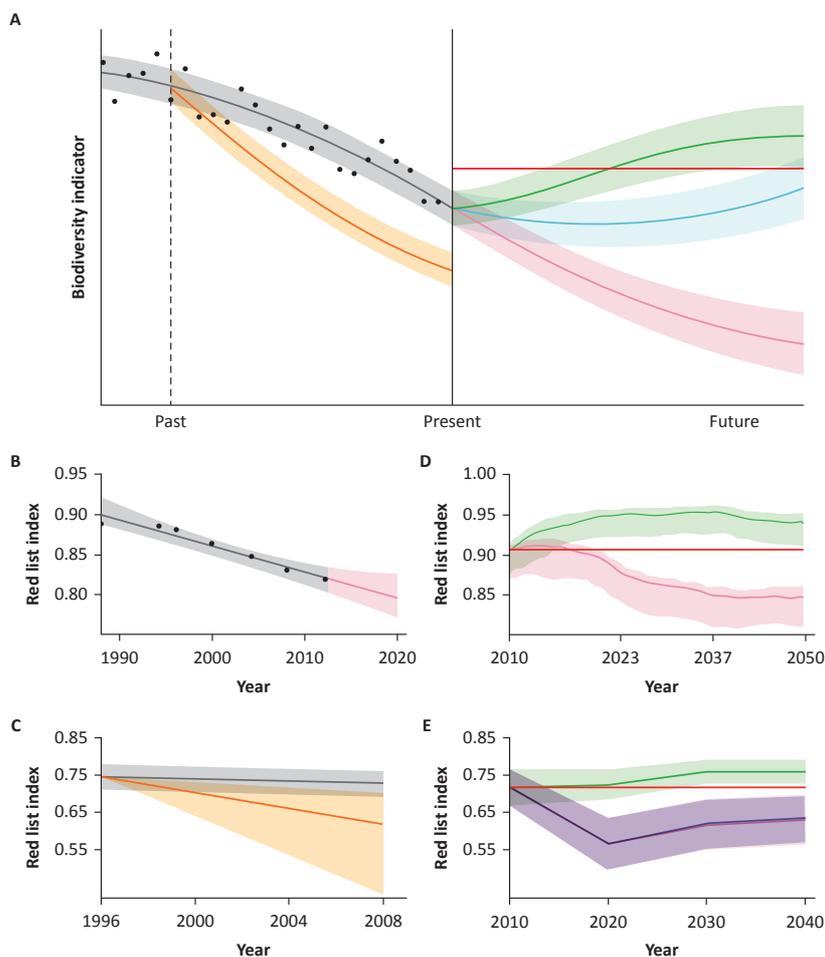


Fig. 1. The roles of scenarios and models in the review, formulation and implementation of conservation targets:

Models and scenarios play important roles across the phases of the policy cycle for conservation targets, both in theory (A), and as exemplified for Aichi Target 12 on species extinctions (B-E; Box 2): **Review**: through analysing and interpreting trend data (in black and grey) and developing counterfactuals of the past (orange) to evaluate the effectiveness of policy or targets implemented in the past (indicated by the dashed grey line) against realised biodiversity outcomes (black and grey); **Formulation**, through testing the

effectiveness and achievability of the Target (red) by various scenarios (green, blue and pink), and indicators for measuring progress; **Implementation**, through evaluation of alternative scenarios and policies (in green, blue and pink, where pink could represent business-as-usual); **Agenda-setting**, when examining plausible scenarios to contribute to problem identification. Models can be used to quantify associated uncertainties in analyses of data and projections, shown by coloured band around lines.

The application of models and scenarios to Aichi Target 12 (on preventing species extinctions) at various stages of the policy cycle are shown in B-E (see Box 2 for details); here the target can be interpreted as a stable or increasing Red List Index (red):

B) **Review** and **agenda-setting**: observed values (black dots) and modelled trend (black line) in the Red List Index (1986-2012, for birds, mammals, amphibians and corals), extrapolated from 2013-2020 under business-as-usual (pink), with 95% confidence intervals, adapted from [1];

C) **Review** with counterfactual: Observed trends in the Red List Index 1996 for 2008 (black) versus an expert-derived counterfactual of species extinction status in the absence of conservation action (orange), for 235 ungulate species, adapted from [45] (scenario A); grey shows 95% confidence intervals, while orange shows upper and lower plausible bounds;

D) **Implementation** (exploratory scenarios) and **formulation** (assessing target achievability): Projections of the Red List Index for terrestrial carnivore and ungulate species under two global socioeconomic scenarios of climate and land-use change: business-as-usual (pink), and consumption change (green), assuming no dispersal or adaptation to change, with 95% confidence intervals; adapted from [33].

E) **Implementation** (policy-screening): Projections of the Red List Index under scenarios of protected area planning and management for implementing Target 11, for 53 mammal

species in sub-Saharan Africa, adapted from studies testing indicator performance [7, 27]: effective management to halt declines in protected areas (green), expansion with current management effectiveness (blue), which is almost indistinguishable from business-as-usual (pink), with 95% confidence intervals.

Figure 2

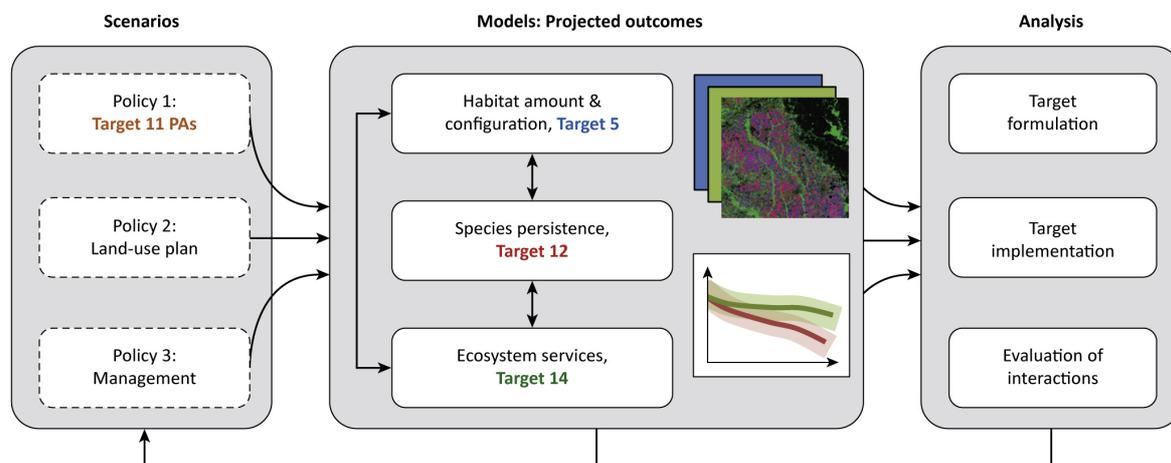


Fig. 2. Accounting for interactions between targets with scenarios and models:

The ways in which governments act to improve the coverage of their protected area networks to meet Target 11, will, in conjunction with other policies such as land-use planning and management, influence the amount and configuration of ongoing habitat loss (Target 5). These will combine to influence other targets, such as the persistence of threatened species (Target 12) and ecosystem services (Target 14). Ecosystem or habitat extent and function may in turn be affected by species persistence and extraction of ecosystem services. Analyses of these interactions should inform target formulation, implementation and evaluation/review, forming an iterative rather than linear process.